

Full Trading Authorization With Privilege to Withdraw Money

Instructions: This form grants full trading authorization with privilege to withdraw money and/or securities. This form does not, in any way, provide for discretionary trading by the financial advisor. Discretionary brokerage accounts are prohibited by LPL Financial Corporation. If a Trust Account is being requested, please attach the full Trust Agreement along with the form.

Fax the completed form to Brokerage New Accounts at (858) 546-0874 or Advisory New Accounts at (858) 455-0970.

1.	Account Number <input style="width: 100%;" type="text"/> Rep ID <input style="width: 100%;" type="text"/> Account Registration <input style="width: 100%;" type="text"/>
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2.	<table style="width: 100%;"> <tr> <td style="width: 50%;">Authorized Agent Name <input style="width: 95%;" type="text"/></td> <td style="width: 50%;">Social Security Number <input style="width: 95%;" type="text"/></td> </tr> <tr> <td>Residence Address <input type="radio"/> Same as account <input style="width: 95%;" type="text"/> <input style="width: 95%;" type="text"/></td> <td>Date of Birth <input style="width: 40%;" type="text"/> <small>MM / DD / YYYY</small></td> </tr> <tr> <td>Country of Citizenship <input style="width: 95%;" type="text"/></td> <td>Home Phone <input style="width: 95%;" type="text"/></td> </tr> <tr> <td>ID Type <input style="width: 95%;" type="text"/></td> <td>Place of Issuance <input style="width: 95%;" type="text"/></td> </tr> <tr> <td>ID Number <input style="width: 95%;" type="text"/></td> <td>ID Issuance Date <input style="width: 40%;" type="text"/> <small>MM / DD / YYYY</small></td> </tr> <tr> <td></td> <td>ID Expiration Date <input style="width: 40%;" type="text"/> <small>MM / DD / YYYY</small></td> </tr> </table> <p><input type="radio"/> Mark here if you or any member of your immediate family is employed by a bank, insurance company, investment adviser or broker/ dealer, then complete the following:</p> <p>Name <input style="width: 40%;" type="text"/> Name of Firm <input style="width: 40%;" type="text"/></p> <p><input type="radio"/> Mark here if you or any member of your immediate family has been a corporate officer, director or owner of 10% or more of any public corporation within the past three months, then complete the following:</p> <p>Name <input style="width: 40%;" type="text"/> Name of Firm <input style="width: 40%;" type="text"/></p>	Authorized Agent Name <input style="width: 95%;" type="text"/>	Social Security Number <input style="width: 95%;" type="text"/>	Residence Address <input type="radio"/> Same as account <input style="width: 95%;" type="text"/> <input style="width: 95%;" type="text"/>	Date of Birth <input style="width: 40%;" type="text"/> <small>MM / DD / YYYY</small>	Country of Citizenship <input style="width: 95%;" type="text"/>	Home Phone <input style="width: 95%;" type="text"/>	ID Type <input style="width: 95%;" type="text"/>	Place of Issuance <input style="width: 95%;" type="text"/>	ID Number <input style="width: 95%;" type="text"/>	ID Issuance Date <input style="width: 40%;" type="text"/> <small>MM / DD / YYYY</small>		ID Expiration Date <input style="width: 40%;" type="text"/> <small>MM / DD / YYYY</small>
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3.	<p>The undersigned hereby authorizes the person named in Section 2 as his agent and attorney in fact to buy, sell (including short sales), assign, transfer, and trade in stocks, bonds, options contracts and any other securities and/or commodities and/or contracts relating to the same on margin or otherwise in accordance with your terms and conditions for the undersigned's account and risk and in the undersigned's name, or number on your books.</p> <p>The undersigned hereby agrees to indemnify and hold LPL Financial Corporation (LPL) harmless from and to pay you promptly on demand any and all losses there from or debit balance due thereon. The undersigned hereby ratifies and confirms any and all transactions with you heretofore or hereafter made by the aforesaid agent or for the undersigned's account.</p> <p>You are authorized to follow the instructions of the above named person in Section 2 in every respect concerning the undersigned's account with you, and make deliveries of securities and payment of monies to him or as he may order and direct. In all matters and things aforementioned, as well as in all other things necessary or incidental to the furtherance or conduct of the account of the undersigned, the aforesaid agent and attorney in fact is authorized to act for the undersigned and in the undersigned's behalf in the same manner and with the same force and effect as the undersigned might or could do.</p> <p>This authorization and indemnity is in addition to (and in no way limits or restricts) and rights which you may have under any other agreement or agreements between the undersigned and LPL.</p> <p>This authorization and indemnity is also a continuing one and shall remain in full force and effect until revoked by the undersigned by written notice addressed to you and delivered to your office at LPL, 9785 Towne Centre Drive, San Diego, California 92121, but such revocation shall not affect any liability in any way resulting from transactions initiated prior to such revocation. This authorization and indemnity shall ensure to the benefit of LPL and of any successor firm or firms irrespective of any change or changes at any time in the personnel thereof for any cause whatsoever, and of the assigns of your present firm or any successor firm. The undersigned acknowledge receiving a copy of this agreement.</p> <table style="width: 100%;"> <tr> <td style="width: 30%;">Dated this day <input style="width: 95%;" type="text"/> <small>MM / DD / YYYY</small></td> <td style="width: 30%;">City <input style="width: 95%;" type="text"/></td> <td style="width: 30%;">State <input style="width: 95%;" type="text"/></td> </tr> </table> <table style="width: 100%; margin-top: 10px;"> <tr> <td style="width: 40%;">Authorized Agent's Signature _____</td> <td style="width: 40%;">Authorized Agent Name (print) _____</td> <td style="width: 20%;">Date _____</td> </tr> <tr> <td>Client Signature _____</td> <td>Client Name (print) _____</td> <td>Date _____</td> </tr> <tr> <td>Client Signature _____</td> <td>Client Name (print) _____</td> <td>Date _____</td> </tr> </table> <p>Notary Sworn to and subscribed before me this day:</p> <p><input style="width: 150px;" type="text"/> <small>MM / DD / YYYY</small></p> <p style="text-align: right;">_____ Notary Public (affix seal)</p>	Dated this day <input style="width: 95%;" type="text"/> <small>MM / DD / YYYY</small>	City <input style="width: 95%;" type="text"/>	State <input style="width: 95%;" type="text"/>	Authorized Agent's Signature _____	Authorized Agent Name (print) _____	Date _____	Client Signature _____	Client Name (print) _____	Date _____	Client Signature _____	Client Name (print) _____	Date _____
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